Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

037204.56176US

| CO | | SIGNATED/ELECTED OFFICE (RNING A SUBMISSION UNDE | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | | |
|---|---|---|--|---|-----------------------------------|--|--|--|--|--|--|
| | | | | 10/531,293 | | | | | | | |
| INTER | NATIO | NAL APPLICATION NO. PCT/EP03/11251 | INTERNATIONAL FILING 10/10 | 0/2003 | PRIORITY DATE CLAIMED 10/14/2002 | | | | | | |
| TITLE OF INVENTION | | | | | | | | | | | |
| PRODUCTION OF A DYE FOR COLORING CELLS IN THE HUMAN OR ANIMAL BODY APPLICANT(S) FOR DO/EO/US | | | | | | | | | | | |
| Hasso MEINERT, et al. | | | | | | | | | | | |
| Applic | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. | | | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | The US has been elected (Article 31). | | | | | | | | |
| 5. | | A copy of the International Application | | | | | | | | | |
| | | a. is attached hereto (require | d only if not communica | ted by the International | Bureau). | | | | | | |
| | | b. has been communicated b | y the International Bure | au. | • | | | | | | |
| | | c. Is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| | | a. is attached hereto. | | | | | | | | | |
| | | b. has been previously subm | itted under 35 U.S.C. 15 | 54(d)(4). | | | | | | | |
| 7. | П | Amendments to the claims of the Inter | national Application und | ler PCT Article 19 (35 U | l.S.C. 371(c)(3)) | | | | | | |
| | _ | a. are attached hereto (requi | red only if not communic | cated by the Internation | al Bureau). | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | | |
| | | c. have not been made; how | ever, the time limit for m | aking such amendment | ts has NOT expired. | | | | | | |
| | | d. have not been made and will not be made. | | | | | | | | | |
| 8. | П | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| | Items | s 11 to 20 below concern document(s | | | | | | | | | |
| 11. | | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. | | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | | |
| 13. | | A preliminary amendment. | | | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | | |
| 18. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. | X | Other Items or Information: Copy of the Notification of Missing Requirements dated September 19, 2005 | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

The following fees have been submitted

INTERNATIONAL APPLICATION NO.

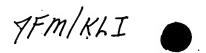
INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

10/531,293

PCT/EP03/11251

| | | 10/531, | | | 03/11251 | 037204.5 | |
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| | | | ees have been submit | | 1 | CALCULATIONS | PTO USE ONLY |
| 21. | Bas | ic national f | fee (37 C.F.R. §1.492 | (a)) | \$300.00 | \$ | |
| 22. | Exa | mination fe | e (37 C.F.R. §1.492(c |)) | | | |
| If the | written opir | nion prepare | ed by ISA/US or the in | | | | |
| | examination | report prei | pared by IPEA/US ind | icates all claims satisfy | | | |
| | provisions of | of PCT Artic | de 33(1)-(4) | | \$0.00 | | |
| All o | ther situation | ns | | | \$200.00 | \$ | |
| 23. | Sea | rch fee (37 | C.F.R. §1.492(b)) | | | | |
| f the | written opir | nion of the I | SA/US or the Internat | ional preliminary examina | tion | | |
| | report prepa | ared by IPE | A/US indicates all cla | ms satisfy provisions of P | CT | | |
| | Article 33(1) |)-(4) | | | \$0 | | |
| Sea | ch fee (37 C | FR 1.445(a | a)(2)) has been paid o | n the international applica | tion to | | |
| | the USPIO | as an inter | mational Searching At | uthorityther than the US and prov | rided to | · | |
| nter | national Sea | rcn Report | communicated to the | US by the IB | \$400 | | |
| م ۱۱ م | ther cituation | i previousiy ne | y communication to the | | \$500 | \$ | |
| \(\(\)\(\) | tilei situatioi | | TOTAL OF 21, 22 AN | | | \$ | |
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| Ш | Additional f | ee for speci | ification and drawings | filed in paper over 100 shall filed in an electronic med | lium) The fee is | | |
| | sequence II | sting or con | al 50 sheets of paper | or fraction thereof | lium). The lec is | | |
| | \$250 IUI ea | | Number of ea | ch additional 50 or fraction | 2.75 | | |
| To | al Sheets | Extra Sh | | up to a whole number) | ' RATE | | |
| _ | - 100 | / 50 |) = | | x 250 | \$ | |
| Surc | harge of \$1 | 30.00 for fu | rnishing the oath or de | eclaration later than 30 me | onths from the earliest | | |
| lair | ned priority | date (37 CF | R 1.492(h)). | | | \$130.00 | · |
| | CLAIMS | | NUMBER FILED | NUMBER EXTRA | RATE | | |
| | Total claim | _ | - 20 | | x 50 | \$ | |
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| | ependent cl | | | | + 360 | \$ | |
| ΛUI | TIPLE DEP | ENDENT C | CLAIM(S) (if applicable | •) | | | |
| | | | | | OVE CALCULATIONS = | \$130.00 | |
| T | Applicant cla | aims small e | entity status. See 37 (| CFR 1.27. Fees above are | e reduced by 1/2. | | |
| | SUBTOTAL : | | | | | \$130.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the | | | | | 0 months from the | | |
| earliest claimed priority date (37 CFR 1.492(i))+ | | | | + | \$ | | |
| | | | | TO | OTAL NATIONAL FEE = | \$130.00 | |
| ee | for recordin | a the enclo | sed assignment (37 C | FR 1.21 (h)). The assignr | nent must be | • | |
| ıcc | ompanied by | an approp | riate cover sheet (37 | CFR 3.28, 3.31). \$40.00 p | per property+ | . \$ | |
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| ١. | A che | ck in the ar | mount of \$ 130.00 to c | over the above fees is en | closed. | | |
| | | | | | | in the amount of the | cover the above fees |
| b. | ☐ Pleas | e charge m | y Deposit Account No |), U5-1323 (Attorney Doc | ket No. 037204.56176US | in the amount of a lit | OUVELUIG ADOVE 1865 |
| | | - | | closed. | | | |
| _ | ☐ The C | `ommission | ner is herehy authorize | ed to charge any additiona | I fees which may be requi | red, or credit any overpa | yment to Deposit |
| C. | Acco | unt No. 05-1 | 1323 (Attorney Dock | et No. 037204.56176US). | A duplicate copy of this | s sheet is enclosed. | |
| | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should n | | | | | | | |
| be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed | | | | | | | |
| and | aranted to | restore th | e International Appl | cation to pending status | s. // | | |
| and granted to restore the International Application to pending status. | | | | | | | |
| SEND ALL CORPESPONDENCE TO: | | | | | | | |
| SE | SEND ALL CORRESPONDENCE TO: | | | | March 1 | | |
| | | ell & Moring | <u>-</u> . | | / SIGNATIVE TO | | |
| | | ectual Prop | | | SIGNATURE James F. McKeown / | Kening Li | |
| | | Box 14300 | | / | / James F. McKeown / NAME | TOTAL STATE OF THE | |
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| | | No. (202) 62 | | l | REGISTRATION NU | MBER | |
| 1 | Fax | No. (202) 62 | 28-8844 | | November 17, 2005 | | |
| 1 | | JFM/KLI/Ick DATE | | | | | |
| JFN | A/KLI/lck | | | | DATE | | |





United States Patent and Trademark Office

10/14/2002

UNITED STATES DEPARTMENT OF COMMER United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

10/10/2003

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/531,293 Hasso Meinert 037204.56176US INTERNATIONAL APPLICATION NO. PCT/EP03/11251 I.A. FILING DATE PRIORITY DATE

23911 **CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

CONFIRMATION NO. 4649 371 FORMALITIES LETTER OC000000017035664*

Date Mailed: 09/19/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/14/2005
- English Translation of the IA filed on 04/14/2005
- Copy of the International Search Report filed on 04/14/2005
- Preliminary Amendments filed on 04/14/2005
- Information Disclosure Statements filed on 04/14/2005
- U.S. Basic National Fees filed on 04/14/2005
- Substitute Specification filed on 04/14/2005
- Priority Documents filed on 04/14/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

| · U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-------------------------------|-------------------------------|------------------|
| 10/531,293 | PCT/EP03/11251 | 037204.56176US |

FORM PCT/DO/EO/905 (371 Formalities Notice)